## REED SMITH LLP

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June 20, 2007 Electronically filed on

I hereby certify that this correspondence to OIPE is being electronically filed on June 20, 2007 with the Patent and Trademark Office in Alexandria, I/A 22313-1450.

Bv: / Ruth Montalvo Date: 06/20/2007

Customer No. 026418 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. GK-OEH-255/500814.20157

Applicant(s):

Dr. Walthard VILSER, et al. Confirmation No. 4625

Application No.: Examiner 10/594,871 Filed: September 28, 2006 Group:

For: SPECTRAL PHOTOMETRY METHOD FOR DETERMINING THE OXYGEN

SATURATION OF THE BLOOD IN OPTICALLY ACCESSIBLE BLOOD VESSELS

Office of Initial Patent Examination Customer Service Center Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

The filing receipt and Notice of Acceptance received in the above-identified application (copies attached) have an error, the docket number is GK-OEH-255/500814,20157 and not GK-OEH-225/500814.20157. Enclosed are copies of the declaration and transmittal as filed showing the correct docket number.

Applicant requests a Corrected Filing Receipt and Notice of Acceptance, showing the docket number as follows:

## GK-OEH-255/500814.20157

It is respectfully requested that a Corrected Filing Receipt and Notice of Acceptance be issued showing the docket number as indicated above.

GK:ram 06/20/2007

Tel.No. (212) 251-5400 Enclosures:

> Copies of marked-up filing receipt . Notice of Acceptance, declaration and transmittal

ectfully submitte

Gerald H. Kiel - Reg. No. 25,116 Reed Smith LLP

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1439 Alexandra, Vignia 22313-1439

|            |                          |          |               | anaminto 600            |          |          |  |  |
|------------|--------------------------|----------|---------------|-------------------------|----------|----------|--|--|
| APPL NO.   | FILING OR 371(c)<br>DATE | ART UNIT | FIL FEE REC'D | ATTY.DOCKET NO          | TOT CLMS | IND CLMS |  |  |
| 10/594,871 | 09/28/2006               | 3735     | 550           | GK-OEH-225/500814.20157 | 24       | 2        |  |  |

26418
REED SMITH, LLP
ATTN: PATENT RECORDS DEPARTMENT
599 LEXINGTON AVENUE, 29TH FLOOR
NEW YORK, NY 10022-7650

CONFIRMATION NO. 4625
FILING RECEIPT
OCCO0000024280998\*

Date Mailed: 06/12/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 2231-31450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Walthard Vilser, Rudolstadt, GERMANY; Martin Hammer, Jena, GERMANY;

#### **Assignment For Published Patent Application**

IMEDOS GmbH

Power of Attorney: The patent practitioners associated with Customer Number 26418.

### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/DE05/00588 03/31/2005

## Foreign Applications

GERMANY 10 2004 016 435.5 03/31/2004

If Required, Foreign Filing License Granted: 06/08/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/594.871

Projected Publication Date: 09/20/2007

Non-Publication Request: No



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Adams (AMMISSIONER FOR PATENTS Expenditure) (All Commission August 2313-1450 www.rsptd.gev

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOC TANO

10/594,871 Walthard Vilser GK-OEH-225/500814.20157

26418
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599 LEXINGTON AVENUE, 29TH FLOOR
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GK-OEH-225/500814.20157

INTERNATIONAL APPLICATION NO.

PCT/DE05/00588

I.A. FILNO DATE PRIORITY DATE

03/31/2005 03/31/2004

CONFIRMATION NO. 4625
371 ACCEPTANCE LETTER
\*OC00000024290999\*

Date Mailed: 06/12/2007

## NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1,495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

09/28/2006

09/30/2006

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL 35 U.S.C. 371
REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Indication of Small Entity Status
- Copy of the International Application filed on 09/28/2006
- Copy of the International Search Report filed on 09/28/2006
- Preliminary Amendments filed on 09/28/2006
- Information Disclosure Statements filed on 09/28/2006
- Oath or Declaration filed on 09/28/2006
- Small Entity Statement filed on 09/28/2006
- U.S. Basic National Fees filed on 09/28/2006.
- Substitute Specification filed on 09/28/2006
- Assignment filed on 09/28/2006
- Priority Documents filed on 09/28/2006

#### UNITED STATES OF AMERICA COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

The specification of which

is attached hereto.

was filed on

claims, as amended by any amendment referred to above.

FILE NO. GK-OEH-255/ 500814.20157

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# SPECTRAL PHOTOMETRY METHOD FOR DETERMINING THE OXYGEN SATURATION OF THE BLOOD IN OPTICALLY ACCESSIBLE BLOOD VESSELS

was filed on March 31, 2005 and was amended on an as PCT international patent application No. PCT/DE2005/000588 (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the

Lacknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37.

as United States patent application Serial Number

| Code of Federal Regulations, 9   | 1.00.   |                 |            |                           |                     |                              |                                |      |
|--|---|-----------------|------------|---------------------------|---------------------|------------------------------|--------------------------------|------|
| I hereby claim foreign priority be<br>or inventor's certificate listed bel<br>certificate having a filing date be  |   |                 |            |                           |                     | plication(sent or inve       | s) for pate<br>entor's         | ent  |
| Prior Foreign Application(s)   |   |                 |            |                           |                     |                              |                                |      |
| COUNTRY  | APPLICATION   | NUMBER          |            | TE OF FIL<br>, month, yea |                     | CLAIM                        | RIORITY<br>ED UND<br>S.C. § 11 | DER  |
| Germany  | 10 2004 016   | 3 435.5         | 31         | March                     | 2004                | YES_x                        |                                | _    |
|  |   |                 |            |                           |                     | YES_                         | NO                             | _    |
| I hereby appoint REED SMITH LLP<br>No. 24,408; Gerald H. Kiel, Reg. No<br>Reg. No. 24,403; Harry K. Ahn, Re<br>attorneys with full power of substitut<br>therewith and to receive all correspo | g. No. 40,243; Sy   | ivia Tan Pag Ma | NO. 30,9   | ou; Stephe                | n Chin, Reg. No.    | 39,938; Ar                   | thur Dresi                     | ner, |
| SEND CORRESPONDENCE TO:  | 599 Lexinator   | LLP CUSTOR      |            |                           |                     |                              |                                |      |
| I hereby declare that all statemen<br>belief are believed to be true; and fu<br>like so made are punishable by fine<br>such willful false statements may jed                                   | rther that these st<br>or imprisonment,<br>pardize the validi | my own knowled  | ge are tru | ue and that               | dge that willful fa |                              |                                |      |
| FULL NAME OF SOLE OR FIRST INVENTOR Dr. Walthard VILSER  |   | INVENTORS       | GNATURE    |                           |                     | DATE 09 /                    | 01/06                          | ,    |
| RESIDENCE<br>D-07407 Rudolstadt, Germany   |   |                 |            |                           | COUNTRY OF Germany  | DUNTRY OF CITIZENSHIP ermany |                                |      |
| POST OFFICE ADDRESS<br>Sigismundstrasse 8, D-074   |   | t, Germany      |            |                           |                     |                              |                                |      |
| FULL NAME OF SECOND INVENTOR (I<br>Dr. Martin HAMMER   | F ANY)  | INVENTOR'S SIG  | NATURE     | •                         |                     | DATE<br>OS/o                 | 1/06                           |      |
| RESIDENCE<br>D-07749 Jena, Germany   |   |                 |            |                           | COUNTRY OF Germany  | CITIZENS                     | #IP                            |      |
| post office address<br>Am Kochersgraben 12, D-0  | 7749 Jena, G  | ermany          |            |                           |                     |                              |                                |      |
|  |   |                 |            |                           |                     |                              |                                | _    |

| Customer No.         | 026418     | 9   |                   |        |
|----------------------|------------|---|-------------------|--------|
| FORM PYS-1390        |            | Transmittal Letter to the Designated/Elected Of         |                   | Page 1 |
| Attorney's Docket I  | No.:       | GK-OEH-255/500814.20157                                 |                   |        |
| U.S. Application No  | o.:        |   |                   |        |
| International Applic | ation No.: | PCT/DE2005/000588                                       |                   |        |
| International Filing | Date:      | MARCH 31, 2005  | 31 MARCH 2005     |        |
| Priority Date Claim  | ed:        | MARCH 31, 2004  | 31 MARCH 2004     |        |
| Title of Invention:  |            | SPECTRAL PHOTOMETRY<br>SATURATION OF THE BLO<br>VESSELS |                   |        |
| Applicant(s) for (DC | )/EO/US):  | Dr. Walthard VILSER and I                               | Dr. Martin HAMMER |        |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information;

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. [X] 1.
- [12. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- This express request to begin national examination procedures [35 U.S.C. 371 (f)]. The submission must include items (5), 113. (6), (9), and (21) indicated below.
- The US has been elected (Article 31). [14.
- ĬX1 5. A copy of Publication No. WO 2005/094671 13OCT05 the International Application as filed [35 U.S.C. 371(c)(2)]
  - [X] is attached hereto (required only if not communicated by the International Bureau). [] has been communicated by the international Bureau.
- i is not required, as the application was filed in the United States Receiving Office (RO/US) c)
- An English language translation of Publication No. WO 2005/094671 13OCT05 the International Application as filed [X] 6. 35 U.S.C. 371(c)(2)]
  - a) [X] is attached hereto
  - b) [] has been previously submitted under 35 U.S.C. 154(d)(4).
- Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)] []7.
  - a) [] are attached hereto (required only if not communicated by the International Bureau).
  - [] have been communicated by the international Bureau.
- c) [] have not been made; however, the time limit for making such amendments has NOT expired. d) [] have not been made and will not be made
- An English language translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)] []8. An EXECUTED Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] [X] 9.
- [] 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 35 U.S.C. 371(c)(5)]

Items 11. to 20. Below concern other document(s) or information included:

- [X] 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- [X] 12. An Assignment document to IMEDOS GmbH for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 is included.
- IXI 13. A preliminary amendment
- An Application Data Sheet under 37 CFR 1.76 1114.
- [X] 15. A substitute specification with abstract (attached to the preliminary amendment)
- [] 16. A change of power of attorney and/or address letter
- A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. j i 17.
- j i 18. A second copy of the published International Application under 35 U.S.C. 154 (d)(4).
- [] 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154 (d)(4).
- [X] 20. (other items or information) Search Report: PCT/ISA/210 03AUG05, PTO-1449 w/4 Foreign documents, article and 10 U.S. 1st pages.

EL 963 992 528 US EXPRESS MAIL No : Deposited: September 28, 2006 I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 1450 ut Morlah

/Ruth Montalvo Date: September 28, 2006